PTO/SB/14 (08-05)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Attorney Docket Number IB-2018 **Application Data Sheet 37 CFR 1.76** Application Number Title of Invention NANOREACTORS AND METHOD OF MAKING The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application, Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Remove Applicant 1 ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Applicant Authority Inventor Prefix Given Name Middle Name **Family Name** Suffix Paul Alivisatos Non US Residency Residence Information (Select One) US Residency Active US Military Service City Oakland State/Province CA Country of Residence i US Citizenship under 37 CFR 1.41(b) US Mailing Address of Applicant: Address 1 5941 Estates Dr Address 2 City Oakland State/Province CA Postal Code 94611 Country US Remove Applicant 2 Applicant Authority olnventor ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name **Family Name** Suffix Yadong Yin Residence Information (Select One) US Residency Non US Residency Active US Military Service Citv Moreno Valley US Country Of Residence Citizenship under 37 CFR 1.41(b) i CN Mailing Address of Applicant: Address 1 21082 Box Springs Road, #138

Address 2 State/Province City Moreno Valley CA Postal Code US 92557 Country Remove Applicant 3 Applicant Authority (Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix. Given Name Middle Name Family Name Suffix

MA

US Residency

State/Province

Rioux

Country of Residence i

Active US Military Service

US

Non US Residency

Robert

Somerville

Residence Information (Select One)

PTO/SB/14 (08-05)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorne	y Docke	t Number	IB-20	18				
Дри	oution but	0	Application Number								
Title of	Title of Invention NANOREACTORS AND METHOD OF MAKING										
Citizen	ship under 3	7 CFR 1.41(b) i	US								
Mailing	Address of	Applicant:									
Addres	ss 1	26 Kidder Ave	nue, #3								
Addres	ss 2										
City	Sommer	rille		State/Province MA							
Postal	Code	01244			Count	yi US					
Applica	ant 4	,							Remo	ve	
Applica	ant Authority	● Inventor	egal Rep	resentativ	e under	35 U.S.C. 1	17	Party of In	terest un	der 35 U.S.	C. 118
Prefix	Given Name		Mi	iddle Naı	ne		Famil	y Name			Suffix
	Gabor		Α.				Somo	rjai			
Reside	ence Informa	tion (Select One)	US	Residenc	у О	Non US R			e US Milit	ary Service	
City	Berkeley		State/	Province	e CA	Coun	try of Re	sidence i	US		
	· ·	7 CFR 1.41(b) i	US								
	Address of	- i									
Addres		665 San Luis I	Road								
Addres								,			
City	Berkeley					tate/Prov	ince	CA			
Postal		94707		Countryi US							
		Be Listed - Addi form by selecting			nformati	on blocks	may be	•	Add		
Corre	spondend	e Information	n:								
		ner Number or co tion see 37 CFR 1		the Corr	espond	ence Infor	mation	section be	low.		
☐ Ar	n Address is	being provided fo	r the co	orrespon	dence I	nformatio	n of this	application	n.		
Custon	ner Number	08076									
Email A	Address	rpcaron@lb	@lbl.gov				Add E	mail	Remove I	Email	
Applic	cation Info	ormation:									
Title of	Title of the Invention NANOREACTORS AND METHOD OF MAKING										
Attorney Docket Number IB-2018 Small Entity Status Claimed					d 🗸						
Application Type Nonprovisional											
Subject Matter Utility											
Suggested Class (if any)				Sub Class (if any)							
Sugges	sted Technol	ogy Center (if an	()								
Total N	lumber of Dra	awing Sheets (if a	iny)	1		Suggest	ed Figu	re for Publ	ication	(if any)	
							-				

Add

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Pap	perwork Reduction Act of 1995, no per-	sons are required to respond to a collecti	ion of information unless it contains a valid OMB control number					
Application Data	Sheet 37 CED 1 76	Attorney Docket Number	IB-2018					
Application Data Sheet 37 CFR 1.76		Application Number						
Title of Invention	Title of Invention NANOREACTORS AND METHOD OF MAKING							
Publication Informa	ation:							
Request Early F	Publication (Fee required at	time of Request 37 CFR 1.2	219)					
and certify that	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.							
Representative Information:								
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.								
Please Select One:	Customer Number	US Patent Practitione	er US Representative (37 CFR 11.9)					
Customer Number	Customer Number 08076							
-								

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Application Status	Pending	Remove				
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
	a 371 of international	PCT/US05/09333	2005-03-22			
Prior Application Status	Pending		Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
PCT/US05/09333	Continuation in part of	PCT/US04/33581	2004-10-12			
Prior Application Status	Expired		Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
PCT/US04/33581	non provisional of	60/555590	2004-03-22			
Additional Domestic Priority Data may be generated within this form by selecting						

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove							
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed				
			◯ Yes ⊙ No				

the Add button.

PTO/SB/14 (08-05) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Shoot 37 CFD 1 76	Attorney Docket Number	IB-2018				
Application Data Sheet 37 CFR 1.76		Application Number					
Title of Invention NANOREACTORS AND METHOD OF MAKING							
Additional Foreign Priority Data may be generated within this form by selecting the Add button.							

Assignee Information:

-							
	in the application data sheet of signment recorded in the Office		ith any requirement of part 3 of Title 37				
Assignee 1			Remove				
If the Assignee is an O	rganization check here.	✓					
Organization Name	Organization Name The Regents of the University of California						
Mailing Address Info	Mailing Address Information:						
Address 1	1111 Franklin Street	1111 Franklin Street					
Address 2	12th Floor	12th Floor					
City	Oakland	State/Province	CA				
Country US		Postal Code	94607				
Phone Number		Fax Number					
Email Address							
Additional Assignee Data may be generated within this form by selecting the Add button.							

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	nature /R'Sue Popowich Caron			Date (YYYY-MM-DD)	2006-09-22		
First Name	R'Sue	Last Name	Caron	Registration Number	52699		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
 and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
 whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
 individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
 the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.